

<http://www.mapleprimes.com/questions/145527-Is-This-Matrix-Primitive>

Markiyan Hirnyk:

Let $UL(2, \mathbb{Z})$ be the group of all the matrices of dimension 2 over the integers with the determinant equal to ± 1 . A matrix M in $UL(2, \mathbb{Z})$ is called primitive if M is not equal to K^n for any K in $UL(2, \mathbb{Z})$ and any positive integer $n \geq 2$. Is the matrix $M := \text{Matrix}([[27, 5], [11, 2]])$ primitive? How to determine it in Maple?

First note, that a matrix is invertible, iff its determinant is invertible (over commutative rings), the group are the invertible matrices over $\mathbb{Z}$. And primitive here means: there is no invertible K , such that $M = K^n$ for $1 < n$.

```
> restart; interface(version);
with(LinearAlgebra):
```

Classic Worksheet Interface, Maple 17.00, Windows, Feb 21 2013, Build ID 813473

```
> M:=Matrix([ [27, 5], [11, 2] ]);
'Determinant(M)': '%'= %;
```

$$M := \begin{bmatrix} 27 & 5 \\ 11 & 2 \end{bmatrix}$$

Determinant(M) = -1

If a power is invertible, then the group element is invertible. And since determinants and products of matrices do commute it follows: if there is $K^n = M$, then n is odd (for n even we would have $\text{Det}(K)^{(2^m)} = 1$, $\text{Det}(K) = \pm 1$) and $\text{Det}(K) = -1$.

```
> 'Eigenvalues(M)';
b:=min(%);
a:=max(%);
``;
#'a<0'; is(%);
'b=-1/a'; is(%);
```

Eigenvalues(M)

$$b := \frac{29}{2} - \frac{13\sqrt{5}}{2}$$

$$a := \frac{29}{2} + \frac{13\sqrt{5}}{2}$$

$$b = -\frac{1}{a}$$

true

The Jordan form of M it is a diagonal matrix with those eigenvalues (from which one can write down [all the] possible roots) and gives an idea, why M can not primitive.

Note that for integer powers the Eigenvectors remain the same, while the eigenvalues equal the same power of the respective eigenvalues, for $A \cdot v = \lambda v$ we have

```
> #A.v= lambda*v;
(A^2).v = A.(lambda*v);
``=lambda*(A.v);
``=lambda*(lambda*v), `etc.`;
```

$$\begin{aligned} (A^2) \cdot v &= A \cdot (\lambda v) \\ &= \lambda (A \cdot v) \\ &= \lambda^2 v, \text{ etc.} \end{aligned}$$

From that we know: if such a pair (K, n) would exist, then the Eigenvalues of K a n -th roots of those of M .

Eigenvalues are the roots of the char. polynomial and that has coefficients in the according ring $\mathbb{Z}$, in this case even quite simple,

$\text{CharacteristicPolynomial}(K, x) = x^2 - \text{Trace}(K)x + \text{Determinant}(K)$. If α, β are the roots, then we have $\alpha\beta = -1$ and $(\alpha + \beta) \in \mathbb{Z}$

Now let be α some n -th root of a , so $\beta = -\frac{1}{\alpha}$, hence $\left(\alpha - \frac{1}{\alpha}\right) \in \mathbb{Z}$ and $\alpha = x + yI$. Solve for y :

```
> 0 = 'evalc( Im( eval(alpha-1/alpha, alpha = x+y*I) ) )';
y in {solve(%, y)};
```

$$\begin{aligned} 0 &= \text{evalc}\left(\Im\left(\left(\alpha - \frac{1}{\alpha}\right)\right)\right) \\ y &\in \{0, \sqrt{-x^2 - 1}, -\sqrt{-x^2 - 1}\} \end{aligned}$$

If y would be not zero then the 2 other cases remain.

```
> alpha: % =eval(% , alpha=x+I*y);
[eval(% , y+=sqrt(-x^2-1)), eval(% , y=-sqrt(-x^2-1))]; #expand(%);
evalc(%);
```

$$\alpha = x + y I$$

$$[\alpha = x + \sqrt{-x^2 - 1} I, \alpha = x - \sqrt{-x^2 - 1} I]$$

$$[\alpha = x - \sqrt{x^2 + 1}, \alpha = x + \sqrt{x^2 + 1}]$$

But then α would be real. So y is zero in any case. Which says that the Eigenvalues are both real and thus are the unique, usual n-th roots of a and b.

Since the Eigenvectors of M form a basis of $\mathbb{R}^2$ the possible root K is unique (now over $\mathbb{R}$).

Let us see, how one re-writes transformations in terms of Eigenvalues and Eigenvectors (if they form a basis): for a given vector w one needs the coefficients in terms of the basis of Eigenvectors (with a choice of ordering) - this is done by forming the matrix consisting of Eigenvectors and after inverting one gets the coefficients by applying it to w, see below.

I do it 'manually' through $-\text{Id } \lambda + (M \cdot x)$, since Maple does not guarantee my desired

```
> 'M - a*IdentityMatrix(2)': NullSpace(): op(1, %):
u:= map(rationalize, %);

'M - b*IdentityMatrix(2)': NullSpace(): op(1, %):
v:= map(rationalize, %);
``;
'M.u=a*u'; map(expand, a*u): Equal(M.u, %);
'M.v=b*v'; map(expand, b*v): Equal(M.v, %);
```

$$u := \begin{bmatrix} \frac{25}{22} + \frac{13\sqrt{5}}{22} \\ 1 \end{bmatrix}$$

$$v := \begin{bmatrix} \frac{25}{22} - \frac{13\sqrt{5}}{22} \\ 1 \end{bmatrix}$$

$$M \cdot u = a u$$

true

$$M \cdot v = b v$$

true

```
> Eig:=Matrix([u,v]);
F:='Eig^(-1)';
F:= map(expand, %):
``;
w:=Vector(2, symbol=r):
'M.w' = '(F.w)[1]*a*u + (F.w)[2]*b*v';
lhs(%) = map(expand, rhs(%)):
%, 'w' = w;
Equal(lhs(%), rhs(%));
#'w' = w;
```

$$\text{Eig} := \text{Matrix}([u, v])$$

$$F := \frac{1}{\text{Eig}}$$

$$M \cdot w = a u (F \cdot w)_1 + b v (F \cdot w)_2$$

$$\begin{bmatrix} 27 r_1 + 5 r_2 \\ 11 r_1 + 2 r_2 \end{bmatrix} = \begin{bmatrix} 27 r_1 + 5 r_2 \\ 11 r_1 + 2 r_2 \end{bmatrix}, w = \begin{bmatrix} r_1 \\ r_2 \end{bmatrix}$$

true

This 'recipe' also works for the n-th root of M, since one knows the Eigenvectors and the Eigenvalues, it writes as

```
> 'K.w=(F.w)[1]*alpha*u + (F.w)[2]*(beta)*v', 'beta = -1/alpha';
```

$$K \cdot w = u \alpha (F \cdot w)_1 + v \beta (F \cdot w)_2, \beta = -\frac{1}{\alpha}$$

Let us work through it for the special case $n = 2*m + 1 = 7$.

This is the only case, where the Eigenvalues add up to an integer (for that the the consideration at the end of this sheet). And thus the only case where M may have a root.

```
> alpha:=a^(1/7);
'alpha - 1/alpha': '%'= simplify(%);
```

$$\alpha := \left(\frac{29}{2} + \frac{13\sqrt{5}}{2} \right)^{(1/7)}$$

$$\alpha - \frac{1}{\alpha} = 1$$

The representing Matrix in the 'standard' basis is formed by the columns of the images of the 'standard' base vectors

```
> 'K=Matrix([w1,w2])';

w:=Vector([1,0]):
(F.w)[1]*alpha*u + (F.w)[2]*(-1/alpha)*v:
map(expand, %):
map(radnormal, %):
w1:=map(simplify, %,size);

w:=Vector([0,1]):
(F.w)[1]*alpha*u + (F.w)[2]*(-1/alpha)*v:
map(expand, %):
map(radnormal, %):
w2:=map(simplify, %,size);
\';
K:=Matrix([w1,w2]);
```

K = Matrix([w1, w2])

$$w1 := \begin{bmatrix} \frac{19}{13} \\ \frac{11}{13} \end{bmatrix}$$

$$w2 := \begin{bmatrix} \frac{5}{13} \\ \frac{-6}{13} \end{bmatrix}$$

$$K := \begin{bmatrix} \frac{19}{13} & \frac{5}{13} \\ \frac{11}{13} & \frac{-6}{13} \end{bmatrix}$$

Then A is a 7-th root of M, but over the Rationals and not over Z (here we have used the 'standard' basis of Z^2).

```
> 'K^7': '%'= %;
```

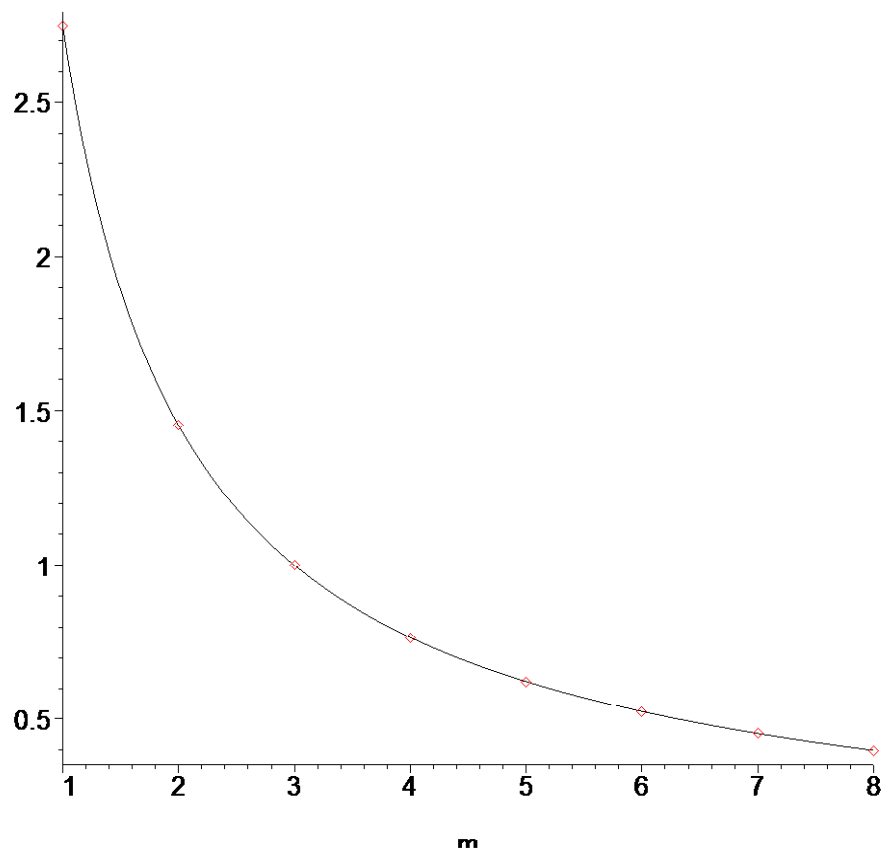
$$K^7 = \begin{bmatrix} 27 & 5 \\ 11 & 2 \end{bmatrix}$$

We are done, if we can show, that the Eigenvalues do not add up to some integer - which they must, they give the linear coefficient of the characteristic polynomial.

```
> linTerm:='a^(1/(2*m+1))-a^(-1/(2*m+1))';
\';
\';
[seq([j,eval(linTerm,m=j)], j=1..8)]: evalf(%): # the specific numerical values
plot(% , style=point, symbolsize=20, color=red):
plot(linTerm, m=1..8, color = black):
plots[display](%,%);
\';
```

$$\text{linTerm} := a^{\left(\frac{1}{2m+1}\right)} - a^{\left(-\frac{1}{2m+1}\right)}$$

$$= \left(\frac{29}{2} + \frac{13\sqrt{5}}{2} \right)^{\left(\frac{1}{2m+1}\right)} - \left(\frac{29}{2} + \frac{13\sqrt{5}}{2} \right)^{\left(-\frac{1}{2m+1}\right)}$$



```
> 'diff(linTerm, m) < 0'; normal(%); is(%) assuming 1 < m;
```

$$\frac{\partial}{\partial m} \text{linTerm} < 0$$

$$-\frac{2 \ln\left(\frac{29}{2} + \frac{13\sqrt{5}}{2}\right) \left(\left(\frac{29}{2} + \frac{13\sqrt{5}}{2}\right)^{\left(\frac{1}{2m+1}\right)} + \left(\frac{29}{2} + \frac{13\sqrt{5}}{2}\right)^{\left(-\frac{1}{2m+1}\right)} \right)}{(2m+1)^2} < 0$$

true

□ Done.